



02-25-02

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jc821 U.S. PTO  
02/22/02

10060781 022202

Vidas, Arrett & Steinkraus Utility Patent Application Transmittal		Atty. Docket No		S63.2-10401
		First Inventor		Lixiao Wang et al.
		Title:	BLOCK COPOLYMER ELASTOMER CATHETER BALLOON	
		Express Mail Label No.		EL835248744US
Application Elements		Address To:		Commissioner for Patents Box Patent Application Washington, DC 20231
1.	<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Included			Pages 2
2.	<input type="checkbox"/> Applicant claims small entity status			
3.	<input checked="" type="checkbox"/> Specification (including 0 pg cover sheet, 14 pg description, 3 pg claims and 1pg abstract)			Pages 18
4.	<input checked="" type="checkbox"/> Drawings			Pages 2
5.	<input checked="" type="checkbox"/> Oath or Declaration a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed) i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application			Pages 2 Pages 2 Pages
6.	<input checked="" type="checkbox"/> Application Data Sheet			Pages 2
7.	<input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents and check) <input checked="" type="checkbox"/> Previously recorded on 3/2/95, Reel 7382, Frames 0422			Pages
8.	<input checked="" type="checkbox"/> Power of Attorney <input checked="" type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)			Pages 1 Pages 1
9.	<input type="checkbox"/> English Translation Document			Pages
10.	<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of Citations ( references)			Pages
11.	<input type="checkbox"/> Preliminary Amendment			Pages
12.	<input checked="" type="checkbox"/> Return Receipt Postcard			Pages 1
13.	<input type="checkbox"/> Certified Copy of Priority Document			Pages
14.	<input type="checkbox"/> Nonpublication Request			Pages
15.	<input checked="" type="checkbox"/> Constructive Petition			Pages 1
16.	<input type="checkbox"/> Limited Authorization			Pages
17.	<input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal			Pages 1
18.	<input type="checkbox"/> Other			Pages
19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet				
<input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part    Of prior application no. 09/328,781, filed 6/9/99, with a further claim of priority as indicated on the Application Data Sheet.				
Prior Application Information: Examiner Michael J. Hayes Group Art Unit 3763				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.				
20. TOTAL NUMBER OF PAGES 31				
21. CORRESPONDENCE ADDRESS				
				
00490				
PATENT TRADEMARK OFFICE				
INSERT CUSTOMER NUMBER LABEL ABOVE				
Name	Walter J. Steinkraus, Reg. No. 29,592			
Signature			Date 2/22/2002	

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10/080781  
20/22/20

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$776.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:

Deposit Account Number 22-0350

Deposit Account Name Vidas, Arrett & Steinkraus

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

☒ Credit any overpayments to Deposit Account No. 22-0350  
Deposit Account Name: Vidas, Arrett & Steinkraus

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	----
107	510	207	255	Plant filing fee	----
108	740	208	370	Reissue filing fee	----
114	160	214	80	Provisional filing fee	----
SUBTOTAL (1)					\$ 740.00

### 2. EXTRA CLAIM FEES

Total Claims 22-20\*\* = 2 X Extra Claims \$18.00 Fee from below = 36.00  
Independent Claims 1 - 3\*\* = 0 X ----- = -----  
Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$ 36.00

\*\*or number previously paid, if greater; For Reissues, see above

## Complete if Known

Application Number not yet assigned  
Filing Date February 22, 2002  
First Named Inventor Lixiao Wang et al  
Examiner Name not yet assigned  
Group Art Unit not yet assigned  
Attorney Docket No. S63.2-10401

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	----
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	----
139	130	139	130	Non-English specification	----
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	----
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	----
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	----
115	110	215	55	Extension for reply within first month	----
116	400	216	200	Extension for reply within second month	----
117	920	217	460	Extension for reply within third month	----
118	1,440	218	720	Extension for reply within fourth month	----
128	1,960	228	980	Extension for reply within fifth month	----
119	320	219	160	Notice of Appeal	----
120	320	220	160	Filing of Appeal	----
121	280	221	140	Request for oral hearing	----
138	1,510	138	1,510	Petition to institute a public use proceeding	----
140	110	240	55	Petition to revive - unavoidable	----
141	1,280	241	640	Petition to revive - unintentional	----
142	1,280	242	640	Utility issue fee (or reissue)	----
143	460	243	230	Design issue fee	----
144	620	244	310	Plant issue fee	----
122	130	122	130	Petitions to the Commissioner	----
123	50	123	50	Processing fee under 37 CFR 1.17(q)	----
126	180	126	180	Submission of Information Disclosure Stmt	----
581	40	581	40	Recording each patent assignment per property (times number of properties)	----
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	----
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	----
179	740	279	370	Request for Continued Examination (RCE)	----
169	900	169	900	Request for expedited examination of a design application	----
Other Fee (specify)					(\$)0
*Reduced by Basic Filing Fee Paid					(\$)0
SUBTOTAL (3)					(\$)0

Walter J. Steinkraus, Reg. No. 29,592

Signature



Telephone 952-563-3000

Date February 22, 2002

**WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>In re Application of:</b>	Lixiao Wang et al.
<b>Application No.:</b>	not yet assigned
<b>Filed:</b>	February 22, 2002
<b>For:</b>	Block Copolymer Elastomer Catheter Balloons
<b>Examiner:</b>	not yet assigned
<b>Group Art Unit:</b>	not yet assigned

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Docket No.: S63.2-10401

**CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE  
AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)**

Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted,  
VIDAS, ARRETT & STEINKRAUS

Date: February 22, 2002

By:



Walter J. Steinkraus, Reg. No. 29,592

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